A BRIEF LOOK AT INHERITANCE METRICS

by Mark Lorenz

In my book Object-Oriented Software Metrics, I divide static metrics into two categories:

- **Project metrics.** A group of metrics that deals with the dynamics of a project. Used for estimating work effort and progress.

- **Design metrics.** A group of metrics that looks at the quality of the project's design at a particular point in the development cycle.

As we all know, there are fundamental concepts underlying O-O software systems, including the use of inheritance. These differences from function-oriented development result in the necessity for a different set of metrics to measure the quality of designs. In this article, I'm going to discuss a couple of design metrics dealing with the use of inheritance. In upcoming articles, I'll take a look at some other O-O metrics.

**INHERITANCE HIERARCHY NESTING**

The deeper a class is nested in the inheritance hierarchy, the more methods there are available to the class and the more chances for method overrides or extensions. This all results in greater difficulty in testing a class.

Our experience has been that large nesting numbers indicate a design problem, where developers are overly zealous in finding and creating objects. This will usually result in subclasses that are not specializations of all the superclasses. A subclass should ideally extend the functionality of the superclasses. If you look around your everyday life, you see that specialization goes only so far. For example, if you look at a transportation domain, you might find a hierarchy similar to Figure 1.

Figure 2 shows some project results for nesting levels. Our rule of thumb is six levels as a threshold for identifying possible anomalies. Frameworks are a significant exception to this heuristic.

As we see in Figure 3, someone using the View framework will start at a nesting level of three. This will affect the maximum nesting level for the domain classes. In this case, we offset the heuristic, counting the nesting levels from the bottom of the framework instead of the top of the hierarchy.

**Action plans**

So what do you do if your nesting levels are beyond the rule of thumb threshold? First of all, the threshold is a heuristic, so it is possible that nothing is wrong in a particular case. There may be good reasons why you see classes nested nine-levels deep, for example. The point is to make a conscious decision about the anomaly rather than to ignore it. Assuming you decide that an action would improve your design, you can:

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*continued on page 4*
• Move subclasses that are not specializations of all their superclasses to another location in the hierarchy.
• Merge subclasses and superclasses that were created for expediency during rapid prototyping but that logically belong together.
• Consider whether a subclass should be a peer of its superclass.
• Factor out new classes that should have reused base classes, such as String or Stream.

METHOD OVERRIDES
A large number of overridden methods indicates a design problem. Because a subclass should be a specialization of its superclasses, it should primarily extend the services of the superclasses. This should result in unique new method names. Numerous overrides indicate subclassing for the convenience of reusing some code and/or instance variables when the new subclass is not purely a specialized type of its superclasses.

Figure 4 shows some project averages for method overrides. Our rule of thumb anomaly threshold is three method overrides by a class. We also weight this threshold by the inheritance hierarchy nesting measurement, so that more deeply nested subclasses have lower thresholds.

There are a number of affecting factors when considering the meaning behind overridden methods:
• Framework. Classes that are a part of a framework provide some functionality that is meant to be finished by application developers. One mechanism is used to define some methods that are meant to be overridden. Creating a method of the name defined by the framework architecture is not really an override—it is merely filling in the blanks and should not be considered for this measurement.
• Abstract class. Abstract classes often act as miniframeworks, providing method templates to be filled in by subclasses. Selectors such as implementedBySubclass and subclassResponsibility are used to note where subclasses are supposed to override methods in the abstract class. Again, these should not be included in a measurement of method overrides.
• Invocation of superclass method. Methods in subclasses can include the statement

```smalltalk
super <methodName>
```
where <methodName> is the selector of the method being overridden. If this statement is always executed, then the subclass is specializing the behavior. This is not the same as a complete override, and should be weighted differently in measuring the method override metric.

**Action plans**

So what do you do if you have a class with a large number of method overrides (that weren’t planned to be overridden)? Again, treat the threshold as a heuristic and make a conscious decision about the anomaly. A suggested action plan: Move the ill-fitting subclass to another place in the hierarchy. Look for a superclass in which the subclass is the same kind of thing. This means the expected behaviors should be similar, with few needed overrides. If you don’t find such a superclass, move the former subclass under Object.

**SUMMARY**

We have taken a brief look at O-O metrics dealing with the use of inheritance. In particular, we examined a class’ position in the inheritance hierarchy and a method’s use of overrides. We have seen that there are factors that affect the meaning of these measurements and have taken a look at possible action plans for anomalies.

**References**


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list components provide a flexible means for displaying a collection of objects and allowing the user to make a selection. What many developers may not realize, however, is that there are a variety of ways in which a list component can display the objects in this collection. In this article, we will discuss the collection on which a list component operates, and we will offer several techniques for displaying that collection's elements in the list.

A list component displays a collection and allows the user to select elements within the collection. For a single selection list, the aspect model is a SelectionInList, and the widget is a SequenceView. For multiple selections, the aspect is a MultiSelectionInList and the widget is a MultiSelectionInSequenceView. The examples in this article concern a single selection list component, but all the material applies to multiple selection list components as well.

THE COLLECTION
The SelectionInList will only operate on sequenceable collections. This is because a SelectionInList tracks the current selection by its index—not by referencing the object directly. Sequenceable type collections include: OrderCollection, List, SortedCollection, and Array among others. Nonsequenceable collection types include: Set, Bag, and Dictionary among others.

It is important to remember that the objects in the collection can be of any type, and we are not restricted to just using textual type objects such as String and Text. Every object knows how to represent itself textually, whether it is a bitmap, a geometric, or a VisualWorks tool, because all objects understand the message printString. Any type of object can go into a list component's collection. Furthermore, because Smalltalk is typeless, we can have a heterogeneity of objects in the same collection, and the list component will perform admirably. In the example to be used throughout this article, we will create a list component to display a collection of all current instances of ApplicationWindow. To do this, paint a canvas with a single list whose aspect and ID are both #windows. Install this canvas as the application model ListExamples.

```smalltalk
ApplicationModel subclass: #ListExample
    instanceVariableNames: 'windows'
    classVariableNames: ''
    poolDictionaries: ''
    category: 'UIApplications-New'
```

Edit the windows aspect method to look like the following:

```smalltalk
windows
windows isNil
    ifTrue: [windows := SelectionInList with: ApplicationWindow allInstances]
    ifFalse: [windows]
```

Now open the application and your list will display 'an ApplicationWindow' for each of the instances of ApplicationWindow currently in your image (note that browsers, inspectors, workspaces, and debuggers do not live in ApplicationWindows, so don’t expect to find them in your list!).

You should be aware that the collection that is displayed by a list component incurs a dependent. The collection contained by the SelectionInList is also known to the widget; this is a SequenceView. The SequenceView registers itself as a dependent of the collection. The reason for this is that if the collection object is a List, it can notify the SequenceView of a change of its contents. However, even if the collection object is not a List, the dependency is established. In such a case, a dependency is established on an object that is ill equipped to behave as a model. This dependency can cause problems when trying to make persistent a collection that is currently being displayed in a list component.

LIST CLASS
The List class was created specifically for operating in tandem with a SelectionInList. The List object behaves as a model. Whenever an element is added or removed, it notifies its dependents of this change. Because the SequenceView is a dependent, it redraws itself to reflect this change in the collection. This is not the case for other sequenceable collection types such as OrderedCollection and SortedCollection. Although these other collection types do acquire the SequenceView as a dependent, their behavior definition does not include model-type behavior, so they are ill equipped to know about internal changes and broadcast updates. Any changes to these collections will not automatically update the list component. In such cases, the burden is on the developer to send invalidate to the list component. This will cause the list widget to redraw itself based on the new information in the collection maintained by the SelectionInList.

PRINT STRING METHOD
There are several ways to display items in a list. They can be represented as strings, formatted text, or as visual components. Furthermore, each object can have a different appearance for different list components. The remainder of this article explores these many possibilities.

By default, the SequenceView displays each object in the collection by sending it the message displayString. All objects know how to respond to this message, and the default behavior is to return the printString value. Every object in Smalltalk also knows how to respond to printString. For most objects, printString returns a string describing the object's type, such as 'a CompositePart' or 'an ApplicationWindow'. The printOn: method is the method actually responsible for constructing the string returned by printString. If you want to change the way an object displays itself as a string, edit the printOn: aStream
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method. As an example, to make our list of windows appear more informative, add the following instance method to ApplicationWindow under the printing protocol:

```smalltalk
printOn: aStream
    aStream
        nextPutAll: self label;
        nextPutAll: ' at ';
        nextPutAll: self globalOrigin printString
```

Now open ListExample again and you will see a much more informative list of your windows. We strongly recommend that you define a printOn: method for each kind of object you create. Even if a particular kind of object never appears in a list component, the dividends will pay off enormously in debugging and inspecting. It is the printString message that displays an object in a debugger or inspector, and having an informative printString can often mitigate the tedium of using these tools.

**DISPLAY STRING METHOD**

You can also specify how an object will appear in a list component by implementing the displayString method directly. This allows an object to be displayed one way in general (printString) and another way in a list component (displayString). For ApplicationWindow, add the following instance method under the printing protocol:

```smalltalk
displayString
    "ApplicationWindow labeled ' , self label
```

Now open ListExample to see that the list component is using the displayString message in favor of the printString message.

**ARBITRARY DISPLAY STRING SELECTOR**

To add some flexibility, you have the option of telling the SequenceView which selector to use to retrieve a printable representation of the objects in its collection—displayString is merely the default. Do this by sending the SequenceView the displayStringSelector: #displayOpenStatus message as part of the post build operation. The argument aSymbol is a message selector understood by each of the objects in the collection. To illustrate this, first add the following instance method to ApplicationWindow in the printing protocol:

```smalltalk
displayOpenStatus
    "self label , ' is ' , (self isOpen ifFalse: ['closed'] ifTrue: ['open'])
```

Now add the following method to ListExample in the interface opening protocol:

```smalltalk
postBuildWith: aBuilder
    (aBuilder componentAt: #windows) widget
        displayStringSelector: #displayOpenStatus
```

Open ListExample to verify these changes.

**GRAPHICAL REPRESENTATION**

The objects in a list component do not even have to display themselves in a textual manner at all. The objects can be displayed visually, although this does require a certain amount of setup. Visual display requires defining the SequenceView's two visual blocks: visualBlock and selectedVisualBlock. These blocks determine how the items in the list are represented visually (including both text and graphics).

Each block takes two arguments: the SequenceView itself and the index of the selection currently being drawn. Both blocks should evaluate to a visual component. The blocks are set by sending the messages visualBlock: and selectedVisualBlock: to the SequenceView. This procedure should be performed in a post build operation. In addition, the visual blocks can be set at any time during runtime, and they will take effect immediately upon the next display of the widget. For our example, we will have our list component display the label of each window and prefix the label with the window's icon. First, we must add the instance method below to ApplicationWindow (put it in the printing protocol, although it does not really belong there):

```smalltalk
icon
    'icon mask
```

Now we will install the appropriate visual blocks to achieve the desired look. Edit the postBuildWith: method in ListExample to read:

```smalltalk
postBuildWith: aBuilder
    (aBuilder componentAt: #windows) widget
        displayStringSelector: #displayLabelAndStatus
```

```smalltalk
Open ListExample to verify these changes.
```

```smalltalk
continued on page 12
```
Commercial use of Smalltalk continues to increase, resulting in a constant stream of new users with questions about Smalltalk. Although there are many new user questions on comp.lang.smalltalk, this is only a small fraction of the people discovering Smalltalk. Many of them don’t have a good way to get accurate information about Smalltalk. They are left with rumors, misinformation, overhyped marketing literature, and salespeople (sometimes the salespeople are disguised as consultants).

In this column, I attempt to provide some simple answers, free of propaganda, to some of the most frequently asked questions. These are a lot less technical than the questions normally discussed in this column, and I hope that the answers are more or less what any knowledgeable Smalltalk person would say. One word of warning: I’ve not had much opportunity to work with Enfin or Smalltalk/X yet, so it’s possible I have inadvertently failed to mention some of their capabilities.

Are people using Smalltalk for real projects?
Yes. The primary uses of Smalltalk used to be in academic or research projects, but applications have been changing very rapidly. Smalltalk is now used extensively in financial and MIS applications, particularly in updating or providing graphical interfaces to legacy systems. Beyond that, it’s possible to find Smalltalk projects in almost any application area. In fact, the use of Smalltalk is increasing so rapidly and the commercial opportunities are so numerous that many research projects have trouble keeping their Smalltalk programmers.

Can I interface Smalltalk to code written in other languages?
The answer is a qualified yes: it depends on the language.
All implementations provide mechanisms to call C. Some of these read C header files and automatically generate Smalltalk classes and methods. If the other language (e.g., FORTRAN) can be called from C, then it should be possible to call a C routine, which in turn calls the other language. MS-Windows and OS/2 implementations usually support calling code stored in DLL’s, which could be written in language that can produce a DLL.

Some implementations are starting to support languages other than C. IBM’s VisualAge can call COBOL as well as C. Digitalk’s PARTS can create wrappers for other languages, including COBOL. That isn’t quite the same as being able to call COBOL from Smalltalk, but should be close enough for most purposes.

It would be particularly nice to be able to call other object-oriented languages from Smalltalk. Most O-O languages support a C interface, but that only allows calling C functions, not sending messages to objects. This situation should improve soon. Most Smalltalk vendors have announced their intention to support one or more of the emerging interlanguage object communication standards (CORBA, SOM, OLE, etc.).

These mechanisms should also allow Smalltalk to be called from other languages. Current Smalltalk implementations like to be in charge and only support calling back to Smalltalk when Smalltalk is the initiator of the computation.

What tools do I need?
Smalltalk already comes with a complete programming environment, eliminating the need for many of the traditional development tools. This doesn’t mean that tools aren’t necessary, and there are two categories that are particularly common:

1. Window layout. Even in Smalltalk, laying out Windows manually is tedious, error prone, and unnecessary. Tools for window layout and (more or less) visual programming have been available for some time now. Some, like Easel’s Enfin, IBM’s VisualAge, and ParcPlace’s VisualWorks are more or less bundled with Smalltalk. Smalltalk/V can be used with both Digitalk’s own PARTS product and Objectshare’s WindowBuilder.

2. Team programming. Smalltalk was originally designed as a single-user single-machine development environment. A
number of additional tools are available for dealing with team programming, version control, and configuration management issues. The most widespread is OTI's ENVY/Developer system, which works with Smalltalk/V, VisualAge, and VisualWorks. For Smalltalk/V, Digitalk makes Team/V. These are both relatively expensive packages, but there are a number of other lower-priced packages available as well.

For the vast majority of applications, garbage collection should not pose any problems.

How can I find out what's available?
Rather than give contact information for all the products mentioned here, I'm providing pointers to some general resources for finding commercial products.

- The Smalltalk Report. This magazine is a useful resource, as it has a lot of information about commercial products in reviews, advertisements, and new product announcements.
- The Smalltalk Resource Guide. Creative Digital Systems publishes this; it attempts to be a complete listing of Smalltalk-related products and resources. (293 Corbett Avenue, San Francisco, CA 94114. v/f: 415.621.4252, email: 72722.3255@compuserve.com or cds.sem@applelink.apple.com.)
- The Smalltalk Store. This is a mail-order source for Smalltalk products. (405 El Camino Real, #106, Menlo Park, CA, 94025. v: 415.854.2557, f: 415.854.2557, email: 75046.3160@compuserve.com or info@smalltalk.com.)

Isn't Smalltalk too slow for commercial applications?
In general, no. Smalltalk does have overhead in both speed and space relative to assembly language or optimized C, particularly for very small programs. This has restricted its use in the low-end shrink-wrapped software market, where development time is much less important than the ability to run on low-end hardware.

These obstacles have been diminishing for some time. Many of Smalltalk's advantages don't show up in small benchmarks but can be very valuable in larger programs. For example, consider garbage collection. On a small benchmark, a C program can usually allocate all of its storage on the stack and avoid any storage management overhead. For larger programs, some form of storage management becomes essential, and often ends up being implemented using a simple but inefficient technique such as reference counting. Smalltalk's memory allocation is much more efficient than malloc, and its garbage collection is much more efficient than most manually implemented techniques. This and other factors can result in large Smalltalk programs outperforming similar programs in other languages.

In fact, Smalltalk has been and is being successfully used in many areas where many people had thought it was completely unsuitable. Hard real-time systems are often cited as an area where Smalltalk could not be used, yet Smalltalk has been successfully used on a number of commercial real-time systems, including a line of oscilloscopes from Tektronix.

Another factor is the use of operating system facilities. In modern programs with graphical user interfaces, the main bottleneck is often calls to the windowing system. When this is the case, the efficiency of the remaining code is much less important. This factor has made interactive development environments like Smalltalk and Visual Basic much more widespread.

How portable is Smalltalk code?
There are two main questions here—portability between platforms and portability between Smalltalk vendors. The first might concern, for example, portability between Smalltalk/V Mac and Smalltalk/V Windows. The second might concern portability between Smalltalk/V Mac and VisualWorks for the Macintosh.

In both cases, code that does not involve the user interface should be very portable. With a few minor tweaks, it is usually possible to load non-GUI code directly into any version of Smalltalk. The exceptions occur when using classes that do not exist in the other version or have different semantics. This is usually not a big problem.

User interface code is more difficult to port, particularly between dialects of Smalltalk. VisualWorks is strongest in this area, as it can normally use identical code on any supported platform. Digitalk code is much less portable, because it uses native platform facilities and often has different user interface frameworks on different platforms. None of the other vendors support multiple platforms yet, but both IBM and QKS are promising to be very portable.

How do I destroy an object in Smalltalk?
You don't destroy objects in Smalltalk—the garbage collector destroys them automatically. This is a great mental leap for people used to programming languages with manual storage allocation. Once there are no more references to an object, it will be disposed of. It's that simple...most of the time.

Problems can arise when there is cleanup that needs to be done when an object is destroyed. One example of this is the global Dependents dictionary. At least some objects implement dependents by adding themselves to this dictionary. When the object is no longer referenced, that dictionary entry needs to be removed.

Another example is that of objects that refer to non-Smalltalk storage. For example, FileStreams may refer to operating system file handles, which should be closed if the object is garbage collected.
There are three ways you can deal with this:

- **Avoid it.** ParcPlace provides a class Model with a dependents instance variable. This removes the need to do any cleanup on objects inheriting from Model. This is good if you can do it, but it doesn’t solve the problem for other classes, and it won’t work when dealing with operating-system storage.

- **Make the programmer do it.** Many objects support a message like release, which does any necessary cleanup. The programmer is expected to send this message when the object is no longer needed. This works, but it rather defeats the purpose of garbage collection, because the programmer must now know when the object is not needed.

- **Finalization.** It’s possible to provide hooks into the garbage collection mechanism to run code when a particular object is garbage collected. This is, in my opinion, the ideal solution, but it is currently only supported by Smalltalk4agents and VisualWorks.

**Isn’t garbage collection too slow for real applications?**

No. Garbage collection algorithms have been the subject of a great deal of research and are very carefully tuned. Most operate incrementally, so the system pauses only for extremely short intervals unless it is critically short of space. For the vast majority of applications, garbage collection should not pose any problems at all. Most large applications need to do some form of storage management, and a built-in garbage collector can be much more efficient than one written from scratch for the application.

**Does the garbage collector work if…?**

For example, what if I have code like this:

```plaintext
| garbage1 garbage2 |
garbage1 := Array new: 1.
garbage2 := Array with: garbage1.
garbage1 at: 1 put: garbage2.
```

Now there are two objects, each of which has a reference to the other but which no other object knows about. Both objects should be garbage, but the garbage collector can’t tell that by looking at either of them individually. Will the garbage collector work?

Yes. This is known as cyclic garbage, and any garbage-collected system should be able to handle it. This is only a problem for systems using reference counting. Very few systems with built-in garbage collectors use reference counting. Even without the cyclic garbage problem, it is much less efficient than other methods.

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This is the fourth and final installment in my series on where objects come from. I deliberately started with the unusual and difficult ways of finding objects. There are lots of books that will tell you how easy it is to find objects. Just underline the nouns! The fatuous phrase that keeps popping up is, "...there for the picking." Or maybe it's "plucking." In any case, none of the objects you'll find with Objects from States, Objects from Variables, Objects from Collections, or Objects from Methods is there for the picking. They are, rather, deep, powerful objects that will change the way you see and structure your systems.

That's not to say that program-derived objects are the only important objects. There are a couple of kinds of objects that are necessary for a well-structured application. They just aren't sufficient to take full advantage of all the benefits objects can offer. Here are two patterns that capture the way I think about obvious objects: Objects from the User's World and Objects from the Interface.

**Pattern:** Objects from the User's World

**Problem:** What are the best objects to start a design with?

**Constraints:** The way the user sees the world should have a profound impact on the way the system presents information. Sometimes a computer program can be a user's bridge to a deeper understanding of a domain. However, having a software engineer second guess the user is a chancy proposition at best.

Some people say, "I can structure the internals of my system any way I want to. What I present to the user is just a function of the user interface." In my experience, this is simply not so. The structure of the internals of the system will find its way into the thoughts and vocabulary of the user in the most insidious way. Even if it is communicated only in what you tell the user is easy and what is difficult to implement, the user will build a mental model of what is inside the system.

Unfortunately, the way the user thinks about the world isn't necessarily the best way to model the world computationally. In spite of the difficulties, though, it is more important to present the best possible interface to the user than to make the system simpler to implement. Therefore...

**Solution:** Begin the system with objects from the user's world.

Plan to decouple these objects from the way you format them on the screen, leaving only the computational model.

**Comment:** This is a pattern Ward Cunningham and I named years ago when we first began exploring patterns. Looking at it again was interesting. I was reminded why having the user's objects at the center is so important. So many effects flow subtly from the object model to the user. I always know a project is in trouble if I come in and the Parsers and ProcessSchedulers are in the middle of the table.

**Pattern:** Objects from the Interface

**Problem:** How can you best represent a modern interface with objects?

**Constraints:** A natural tendency is to want to make big user interface objects—an entire table, a row of buttons, etc. This may be a legacy from procedural programming, wherein separating functionality into pieces is difficult. The problem with this approach is that the result is inflexible. If you want to add another button to the row or change the way the table behaves, you may have to touch many parts of the code.

A better approach is to make many smaller-grained user interface objects. The more you can compose your user interface out of small objects, the more flexibility you have, and flexibility is at a premium in user interface design and implementation. The user interface is the part of the system that will remain unstable longest, long after the underlying model has shaken out.

**Solution:** Find objects in the user interface. As much as possible, make each identifiable thing in the interface into an object and build larger entities by composing them together. The lowest level user-interface objects become like the tokens in a programming language.

**Comments:** I sort of like the way Objects from the User's World turned out, but I think Objects from the Interface isn't very good. Actually, the design of objects to support user interface is the result of a whole system of patterns. I think I succumbed to "big patternitis," the disease in which you want to look comprehensive and you end up saying...
Return values

Every method has one return value. A return value can be a Boolean indicating the success or failure of the operation, a new object that is the result of the operation, or an existing object such as the receiver of the method. The default return value of a method is self, the receiver of the method.

In this column, we examine some common return values from methods. Evolution of interfaces can result in an ad hoc variety of return objects that are more difficult for a client to use. A better alternative is specialized objects that encapsulate return values.

WHAT HAS RETURN VALUES?

In Smalltalk, there are two structures composed of a sequence of statements, that have a return value: blocks and methods. These structures have two kinds of returns, implicit and explicit returns. This column discusses method returns in detail.

An explicit return consists of a return statement and causes an immediate return from the method context, even if the return statement is inside a block. The return value is the value of the expression to the right of the return operator (^). The expression ^nameCollection includes: myName returns true or false, depending on whether myName is included in nameCollection.

Another form of return is an implicit return. Implicit returns are performed when no explicit return is performed. An implicit return is performed when execution "falls off the end" of the method.

Blocks and methods have different implicit return semantics. For methods, the implicit return value is the receiver of the method, otherwise known as self. For blocks, the return value is the value of the last statement in the block, or nil if the block has no statements.

EXAMPLES

Smalltalk class libraries are filled with examples of implicit and explicit returns. The method displayOn: has an implicit return. The implicit return is performed after the last statement in the method:

```
Wedge
displayOn: aGraphicsTool
  "Graphically display the receiver on <aGraphicsTool>.*"
  self fillOn: aGraphicsTool
```

The truncated method for Line has an explicit return, but it is the same as the implicit return. Sometimes developers use an explicit return for emphasis, even though it is not necessary. In this case, it is probably because the method, unlike other similar line methods, returns the receiver rather than a copy of the receiver:

```
Line
  truncated
  "Answer the receiver with the coordinates of its end points truncated to integers."
  self start: self start truncated.
  self end: self end truncated.
  ^self
```

Other common return objects are nil, true, false, and strings. By convention, a return value of nil usually indicates an exception condition or an error. Many older class libraries written in the days before exception handling had old methods that started out with a return of self. Later, some error checking might be added and another return value might be used to indicate the error. The other return value was typically nil, which can be easily tested by clients. The method operationFooOn illustrates a conditional return of nil:

```
operationFooOn: anObject
  "Perform the operation foo on <anObject>. Return nil if error."
  | fooizedObject |
  (self compatibleWith: anObject)
  ifFalse: [nil].
  fooizedObject := self prepare: anObject.
  self foo: fooizedObject
```

Another common way to indicate an error is to return a string describing the error. The class method bindTo: checks the return value for the bindTo: instance method:

```
ObjectLibrary Bind class
bindTo: aDLLName
  "Bind the ObjectLibrary with <aDLLName> into the current image."
  | result |
  result := self new bindTo: aDLLName.
  result isString ifTrue: [ self error: result ].
  ^result
```

A BAD IDEA

As operations grow more complex, there is a tendency for the
interface to operations to become broader. Sometimes broad-
ness takes the form of disparate return values, each returned
under a different condition. Methods with widely differing re-
turn values require the client to execute conditional code or
perform a kind of case statement in order to use the result of
the method invocation.

Let's look at an example of a complex operation. The oper-
ATION has these characteristics:

• It might not succeed.

• The operation has a second chance of success—it can be re-
tried, with some input ignored.

• If the operation fails, it might be because of an internal er-
ror or because an external function failed. For debugging
purposes, it is desirable to distinguish between the two.

• Another effect of the operation is the creation of an Or-
deredCollection of strings containing result data from the
operation.

One possible solution to the problem of how to return this in-
formation is to use different return values to indicate how the
operation proceeded. This solution uses the following set of re-
turn objects (with their interpretation):

• self. Operation completed without error and return object
represents success.

• nil. Operation completed but some input data was ignored.
Return object represents conditional success. If the invoca-
tion of the method has user interaction available, it would
be appropriate here.

• string. Operation failed due to internal constraints, and re-
turn object represents error message.

• integer. Operation failed due to external constraints, and re-
turn object represents error code. Client must look up mes-
ge in error-code table. Desirable to have error code in
case error-code table is inconsistent with external interface.

This solution also makes use of a global variable to contain the
collection of result strings. The operation has a side effect of
setting the global variable to the collection.

Clients of this method must test for the kind of return value
to interpret the result of the operation. The testing of the re-
turn value and its interpretation looks like a secret code be-
tween the method and the client. Occasionally, a developer has
to break the secret code to maintain the application. Client
code might look like this:

Invoking Operation
Invoke the operationWithPoorInterface. Interpret the result and
conditionally return the global variable <GlobalResult> if the
operation succeeded. If it failed return an empty collection. If the
invocation was interactive, notify the user of the operation results.

```
| result errorMessage |
result := self operationWithPoorInterface.
result == self
ifTrue: [self invocation isInteractive
ifTrue: [self notifySuccess]]
```

The messages that can be sent to the result depend on the type
of object. If the return values from a method are highly poly-
morphic, then the client for the method can use the result
without testing for the kind of object.

This solution suffers from several problems:

• Ease of Use. The client must map the return value to the
correct action, based on the kind of return object. The kind
of return object can be arbitrary and therefore difficult for
the developer to interpret correctly.

• Maintenance. Requires the client to have a "case" statement
that is error prone during evolution and maintenance—if the
set of return values changes, then all clients must be updated.

• Encapsulation. A global variable that is set as a side effect of
the operation is problematic because it is not protected
from modification outside of the operation.

ANOTHER BAD IDEA
The other bad idea is to package multiple return objects into
one generic return object, such as an array. We can rework
the above example to use an array for a return value. The
array contains much of the information above, but in a
different form:

• Element 1. Success Boolean. Set to true if the operation suc-
cceeded. Set to false if data was ignored or the operation failed.

• Element 2. Data-ignored Boolean. Set to true if the opera-
tion succeeded by ignoring some input data. Set to false if
otherwise.

• Element 3. Error code. Set to nonzero if external operation
failed. Error message is also set.

• Element 4. Error code. Set to nonzero if external operation
failed. Error message is also set.

• Element 5. Collection of result strings. Set to an empty col-
lection if operation failed.

In this form, we do not use a global variable to return the col-
clection of result strings. Now the client must interpret the con-
tents of the array instead of the set of return values. The code
for the client might look like this:
invokeOperation:
"Invoke the operationWithPoorInterface. Return a collection of strings if the operation succeeded. If it failed, return an empty collection. If the invocation was interactive, notify the user of the operation results."

| result errorMessage errorCode |
result := self operationWithPoorInterface.
(result at: 1) "success"
| true: [self invocation isInteractive ifTrue: [self notifySuccess].
  ~result at: 5].
(result at: 2) "data ignored"
| false: [self invocation isInteractive ifTrue: [self notifyDataIgnored].
  ~result at: 5].
errorMessage := result at: 3.
errorCode := result at: 4
errorCode > 0
| ifTrue: [errorCode isAbsent: [unknown error]].
  self notifyError: errorMessage.
  ~OrderedCollection new

A REAL LIFE EXAMPLE

Compilation is a complex operation with multiple results. The solution used by Smalltalk/V for compilation results is a specialized return object, an instance of CompilationResult. The behavior for CompilationResult from Smalltalk/V for Win32 (simplified for presentation—see sidebar). The behavior can be divided into several categories:

- methods for determining the success of the operation and dealing with errors
- methods for returning the results of successfully compiling some source code
- methods for returning the results of parsing some source code, subdivided into:
  1. local names
  2. selector
  3. miscellaneous results, such as the messages sent by the method.

Depending on the client, results of compiling could be used to create methods for classes, to build static databases of messages.
or to collect data for metric and productivity measurement. Typical use of the interface to the compiler looks like this:

``` Smalltalk
invokeCompiler
   "Invoke the compiler. Return a new compiled method if the compilation was successful, nil otherwise."
   | compiler compilerResult |
   compiler := self compilerClass forClass: self classToCompileFor.
   compilerResult := compiler compile: initialSource.
   compilerResult wasSuccessful ifFalse: [ nil ].
   self install: compilerResult association withSource: compilerResult sourceCode.
   self buildCallTableFrom: compilerResult selector to: compilerResult messages.
   "self"
```

**ADVICE**

When designing systems with complex operations, pay attention to the interface between the client and the operations. If there is a requirement for multiple return values, consider the use of specialized return objects.

Analyse interfaces to existing complex operations. Be wary of:

- sets of return objects,
- the use of "case" statements in client code to analyse return values, and
- generic data structures, such as arrays, which are analysed by the client.

These are signs of code that could benefit from specialized return objects. The results of rework with specialized objects will be more understandable, extensible, maintainable, and reusable.

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**Smalltalk Idioms**

continued from page 13

something so vague as to be unusable. I'll leave that pattern there, though, as an example of how not to do it.

This concludes a four-part series on where objects come from. Looking back, I can see I have wandered pretty far afield from the hard, practical information I wanted to present in this column. I'm not sure what I'll do next, but I think I may even give patterns a rest for a while. Maybe something about what goes on under the hood in VisualWorks and V. Maybe some virtual machine secrets. What do you think? Let me know at 70761.1216@compuserve.com.

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GUI Smalltalk: The VisualWorks UIBuilder

VisualWorks allows programmers to easily generate complex user interfaces in a highly automated way. The same capabilities that make it possible to draw windows using the point-and-click UIPainter tool are also used to generate the resulting windows at runtime. By taking a peek under the covers of how VisualWorks constructs its windows, we can gain a greater understanding of how to apply the user hooks that VisualWorks provides and start to see how to use this knowledge to extend VisualWorks.

A VISUALWORKS WINDOW FROM THE OUTSIDE IN
Let's start by looking at a simple VisualWorks window (constructed using the UI Painter) containing an ActionButton, an InputBox, and a Label. (See Fig. 1.)

What the pieces do
ApplicationWindow—The application window is the topmost layer of the VisualWorks window system. It represents the operating system window that you see. It is different from the rest of the components of the window in that the class ApplicationWindow is not a subclass of VisualComponent—it is not something that can represent itself with a graphics context or be a subcomponent of something else. This is why it is so difficult (maybe impossible!) to do MDI under VisualWorks. Instead, ApplicationWindow is a subclass of DisplaySurface. This means it possesses a graphics context that it can pass to other objects with which they can display themselves.

KeyboardComposite—A KeyboardComposite is a special subclass of CompositeView that connects to a KeyboardProcessor through its controller. A KeyboardProcessor coordinates keyboard focus for all the Views contained in the KeyboardComposite.

CompositeViews are a subclass of CompositePart. A CompositePart is a visual component that holds a group of other visual components within its bounds. For instance, when you “group” things in VisualWorks, a CompositePart is created to hold the things in the group.

SpecWrapper—The SpecWrapper binds together the component represented on the window and the spec that created it. SpecWrappers are returned when you ask for “componentAt:” to a builder. SpecWrappers are a subclass of WidgetWrapper that manage the visual state of the component—that is, is it visible or invisible, what LookPreference does it use, and so forth.

BoundedWrapper and BorderedWrapper or LayoutWrapper—These wrappers set the position and size within the window of the component that they contain. If you set a component to have a border in the UIPainter, then it is wrapped in a BorderedWrapper; otherwise it is wrapped in a BoundedWrapper. In some cases, another wrapper, a LayoutWrapper, is used. A LayoutWrapper works from an instance of LayoutFrame or LayoutOrigin rather than from a rectangle.

At the very bottom of the stack are the UI components—the subclasses of View that actually accomplish something like editing text or displaying graphics, and so forth.

THE BUILD PROCESS
Now that we've seen what a VisualWorks window is composed of, the questions remain: How do the pieces of a window we have just seen get from the spec generated by the UIPainter onto the window? This is the result of the VisualWorks interface building process. This process goes through several steps, with user hooks at each level to allow the process to be modified or enhanced.

First Step: Setup
When a VisualWorks window is created, the message open is sent to an instance of ApplicationModel. Note that this occurs after the instance of ApplicationModel is created, so any initialization that took place in the initialize method of that particular ApplicationModel subclass has already occurred.

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Kyle Brown
This method first creates a new instance of UIBuilder, then creates an instance of FullSpec by sending its class the message interfaceSpecFor: with the windowSpec symbol as a parameter. This method turns over the job of creating a new interface spec to the class UISpecification. The class UISpecification then reads the literal array version of the specification from the windowSpec method, decodes the literal array format of the UI Specification, and returns an instance of FullSpec. Here is what a FullSpec consists of:

A WindowSpec represents the attributes of the Application-Window, that is, its min and max size, its bounds, its label, and so forth. The component attribute of a FullSpec contains a Spec-Collection that contains (in its collection attribute) the individual specs of each of the components of the window to be built.

As the next step in the build process, the first user hook, preBuildWith: is called. At this point, both the UIBuilder and FullSpec exist, but nothing has been done with them. You can modify the UIBuilder in this method, but unfortunately, the FullSpec is not passed as a parameter. (Of course, you could always change the method openInterface: to correct this oversight).

**Second Step: Creating the components**

The next message sent by the openInterface: method is the add: message sent to the UIBuilder with the newly created FullSpec as the parameter. This simple little message accomplishes most of the work of putting together the VisualWorks window.

```smalltalk
self add: aSpec.

self startNewComponent.

self addSpec: aSpec.

"build the whole thing"

windowSpec := self createWindowSpec.

self addSpec: windowSpec.

"build the components"

self addSpec: aSpec.

self setStyleOk component to: spec @le.

component controller menu performer alignment I

component controller dispatchOn: Character cr to: #acceptKey.
```

The message startNewComponent cleans out some instance variables of the UIBuilder and sets it up to begin work on a particular component. This message then sends addSpec:, which sets the UIBuilder's spec attribute to be the new specification passed in and turns the process over to the specification by sending it the addTo:withPolicy: message.

At this topmost level, aSpec is an instance of FullSpec. FullSpec implements addTo:withPolicy: by telling the builder to add: its windowSpec and ComponentSpec in turn. Similarly, Spec-Collection implements this message by iterating over its collection of specs and telling the builder to add: each of them in turn. However, the superclass UISpecification implements the method this way:

```smalltalk
addTo: builder withPolicy: policy

self dispatchTo: policy with: builder.

self finalizeComponentIn: builder.
```

The method dispatchTo:with: is overridden in all concrete subclasses of UISpecification. As an example of how this process works, let's trace down the adding of one of the specs, the InputFieldSpec, and see how the methods are implemented.

InputFieldSpec implements dispatchTo:with: in this way, by using double-dispatching:

```smalltalk
dispatchTo: policy with: builder

policy inputBox: self into: builder
```

The class UILookPolicy and its subclasses implement many methods that are of the form component:into:, in which component is the name of the UI component being added (listView, textEditor, etc.). These methods actually create the instances of the correct View subclasses for each specification. If you wanted to extend out the VisualWorks palette with your own View, one of the first steps (which is not mentioned in the relevant chapter of the VisualWorks user's manual) should be to implement these methods for each UILookPolicy subclass in the system.

Coming back to our example, the class UILookPolicy implements inputBox: into: in this way:

```smalltalk
inputBox: spec into: builder

| component model menu performer alignment |

model := spec modelInBuilder: builder.
component := self inputBoxClass new.

addSpec := InputFieldSpec new.
spec := addSpec.

spec type == #password iftrue: [

spec isReadOnly iftrue: [

performer := spec getPerformerIn: builder] == nil

ifFalse: [component controller menuHoldMenu] == nil

ifFalse: [component controller performer performer] == nil.

builder component: component.
component displaySelection: false.
spec tabable

ifTrue: [component widgetState isTabStop: true.

builder sendKeyboardTo: component]

ifFalse: [component widgetState canTakeFocus: true.

component controller keyboardProcessor: builder]

builder component controller dispatchOn: Character cr to: #acceptKey:]

spec isReadOnly iftrue: [component controller readOnly: true].
spec numChars == nil ifFalse: [component controller maxChars: spec numChars].

(component := spec getMenuIn: builder) == nil ifFalse: [component controller menuFolder: menu].

(builder := spec getPerformerIn: builder] == nil

ifFalse: [component controller performer performer: performer].
```

As the next step in the build process, the first user hook, preBuildWith: is called. At this point, both the UIBuilder and FullSpec exist, but nothing has been done with them. You can modify the UIBuilder in this method, but unfortunately, the FullSpec is not passed as a parameter. (Of course, you could always change the method openInterface: to correct this oversight).

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```smalltalk
self add: spec.

self postOpenWithExtents: spec window bounds extent.

self postOpenWith: builder.

builder.
```

The message startNewComponent cleans out some instance variables of the UIBuilder and sets it up to begin work on a particular component. This message then sends addSpec:, which sets the UIBuilder's spec attribute to be the new specification passed in and turns the process over to the specification by sending it the addTo:withPolicy: message.

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(component := spec getMenuIn: builder) == nil ifFalse: [component controller menuFolder: menu].

(builder := spec getPerformerIn: builder] == nil

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self postOpenWith: builder.

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The aforementioned methods (in boldface) indicate most of the steps necessary to create the component. In general, most component:into methods do all or most of the following six steps:

- create the component's model (if necessary) by calling modelInBuilder;
- create the component
- set the component of the builder to be the new component
- do any necessary initializations and setup
- wrap the new component in an appropriate wrapper.
- set the layout of the new component's wrapper from the specification.

If the component is one that requires a model, then it will be obtained (in most cases) in the modelInBuilder: method by getting the return value of sending the aspect message that was defined in the spec to the builder's ApplicationModel.

Usually, obtaining the correct wrapper for the component is done by sending a particular UILookPolicy the manufactureGeneralWrapperFor:into: method. This method creates a wrapper based on the type of the layout attribute of the spec and the value of the decoration attribute. This allows a component to be bordered, unbordered, or to have scroll bars based on the decoration flags. There are a few exceptions to this rule that need to specify their wrappers differently, as does inputBox:into: above.

Finally, the finalizeComponentIn: message adds the SpecWrapper around the newly created wrapper and adds the resulting wrapper into the builder's dictionary and the builder's composite.

At this point, most of the work of creating the window is finished. By now, all the window components have been created and connected to each other. All that remains is for the window to be opened.

**Last Step: Opening the window**

Back up at openInterface: events quickly reach an end after the specs have been added. The user hook postBuildWith: is called next. Any changes to the layout of the UI components or special initializations outside of those done in the build process can be done in this user-defined method. Additionally, any keyboard hooks (which trap keys before they are passed to lower-level components) can be defined in this method.

The message postBuildWith: is followed by actually opening the window itself (UIBuilder openWithExtent:). This message sends the openWithExtent: message to the window held by the UIBuilder, which opens and schedules the window. Finally, the user hook postOpenWith: is called and the openInterface: method returns.

That just about does it. We've managed to trace down from the spec built up by the UIPainter all the way to the Objectworks window objects actually built by the UIBuilder. In the next article of this series, we'll explore how to use some of the information we've gained to build an OS/2-like notebook pane in VisualWorks.

**References**


Kyle Brown is a Senior Member of Technical Staff at Knowledge Systems Corp. He has been developing custom views for Objectworks/Smalltalk for over three years. As part of his consulting practice, he has developed custom graphical interfaces for applications in Engineering, MIS, and scientific computing. Since joining KSC, Kyle has enjoyed teaching the principles of reuse and good O-O design to a variety of clients through the KSC Smalltalk Apprentice Program.
EASEL CORPORATION ANNOUNCES NEW VERSION OF ENFIN APPLICATION DEVELOPMENT ENVIRONMENT AND TEAMBUILDER
Easel Corporation announced an upgrade of its application development environment, ENFIN Release 4.0. The company also introduced TeamBuilder, a development tool that enables groups of developers to simultaneously build applications. Both are members of Easel's Object Studio products family.

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*These features are version 2.0 only. Version 2.0 for Win and Win32 will ship in 3Q94.

Subpanes/V requires WindowBuilder Pro/V. Subpanes/V is compatible with Team/V and ENVY/Developer. Subpanes is implemented in Smalltalk, as subclasses in Digital's Subpane hierarchy. Support subscription available.

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